

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.

10/697,570

Confirmation No.: 8422

Applicant

Nicholas G. GREY, et al.

Filed

October 31, 2003

TC/A.U.

: 1746

Examiner

Unassigned

Docket No.

: 100103.52885US

Customer No.

: 23911

Title

: Surface Cleaning Apparatus

## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § § 1.97 and 1.98

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached Form PTO-1449 and/or listed herein and which the Examiner may deem relevant to patentability of the claims of the aboveidentified application.

English-abstracts are provided herewith for the references listed on the PTO 1449 as AF-AG.

In compliance with the concise explanation requirement under 37 CFR §1.98(a)(3) for foreign language documents, Applicant encloses herewith a copy of a corresponding foreign Search Report citing such documents, together with an English-language version (if not already included) of that portion of the Search Report indicating the degree of relevance found by the foreign office.

The present Information Disclosure Statement is being filed (1) no later than three months from the application's filling date or (2) before the mailing date of the first Office Action on the merits (whichever is later), and therefore no certification under 37 C.F.R. § 1.97 (e) or fee under 37 C.F.R. § 1.17 (p) is required.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

Respectfully submitted,

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June 30, 2004

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PTO/SB/08a (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

100103.52885US

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of

Attorney Docket Number

Sheet

Examiner

Examiner	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
Initials'		Number-Kind Code <sup>2</sup> (if known)				
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FOREIGN PATENT DOCUMENTS									
Examiner Initials'	Cite No.1	Foreign Patent Document  Country Codo <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	La			
	AA	WO 97/15224	05-01-1997	Aktiebolaget Electrolux	-				
	AB	GB 855,613	12-07-1960	Hoover					
	AC	WO 02/26097	04-04-2002	Dyson Limited					
	AD	GB 2187377	09-09-1987	Nippon Seal Co. Ltd.					
	AE	GB 1046901	10-26-1966	Richard Charles Morris et al.					
	AF	JP 2001061742	03-13-2001	Amano Corp.		AB			
	AG	WO 01/54555	08-02-2001	Kaercher GmbH & Co. Alfred (DE)		AB			

Signature Considered \*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not

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considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.